SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF** SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and A Diamandi	2. Date of Requiring (Month/Da 11/10/20	Statement ay/Year)	3. Issuer Name and Ticker or Trading Symbol <u>Vaxxinity, Inc.</u> [VAXX]								
(Last) 1717 MAIN		(Middle)		11/10/2021		4. Relationship of Reporting Issuer (Check all applicable) X Director		g Person(s) to 10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year) 11/10/2021	
SUITE 3388 (Street) DALLAS (City)	TX (State)	75201 (Zip)	_			Officer (give title below)	Other ( below)			eck Applicable Form filed t Person	by One Reporting
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4) (D) or Ir (I) (Instr		irect direct	rect Ownership (Instr. 5) lirect				
Table II - Derivative Securities Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)											
Ex			Expiration Da	. Date Exercisable and xpiration Date Month/Day/Year)		3. Title and Amount of Secu Underlying Derivative Secu (Instr. 4)				5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.
			Date Exercisable	Expiration Date	Title		Amount or Number of Shares	Derivative Security		Direct (D) or Indirect (I) (Instr. 5)	5)
Series A pre-	ferred stock <sup>(1)</sup>	)	(2)	(2)	Cla	stock	13,824 <sup>(2)</sup>	(2)		D	

**Explanation of Responses:** 

1. The Reporting Person unintentionally omitted this holding from the Form 3 filed on November 10, 2021.

2. The 21,511 shares of Series A preferred stock will convert into 13,824 shares of Class A common stock automatically upon closing of the initial public offering based on a conversion rate of approximately 0.643 shares of Class A common stock for each share of Series A preferred stock.

/s/ Rene Paula Molina,	
attorney-in-fact for Peter	06/23/2022
<u>Diamandis</u>	
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.