# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# **SCHEDULE 13G**

Under the Securities Exchange Act of 1934 (Amendment No. 1)\*

# Vaxxinity, Inc.

(Name of Issuer)

Class A Common Stock, par value \$0.0001 per share (Title of Class of Securities)

> 92244V104 (CUSIP Number)

December 31, 2022 (Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

 $\Box$  Rule 13d-1(b)

 $\Box$  Rule 13d-1(c)

 $\boxtimes$  Rule 13d-1(d)

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934, as amended ("*Act*") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the *Notes*).

Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications:

W. Bruce Newsome, Esq. Haynes and Boone, LLP 2323 Victory Avenue, Suite 700 Dallas, Texas 75219-7673 (214) 651-5000

#### CUSIP No. 92244V104

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1 Name of Reporting Persons			eporting Persons	
-	Mei Mei Hu			
2 Check the appropriate box if a member of a Group (see instructions)				
	(a) 🗖			
3	(a) □       (b) □         3       Sec Use Only			
5 See Ose Omy				
4	4 Citizenship or Place of Organization			
4	Chizen			
	United	nited States		
	onica	5	Sole Voting Power	
		C		
Nu	mber of		73,728,703 (1)	
Shares		6	Shared Voting Power	
~	eficially			
Owned by			4,212,495 (2)	
Each		7	Sole Dispositive Power	
Reporting				
	Person		10,480,128 (3)	
With:		8	Shared Dispositive Power	
			271,655 (4)	
9	Aggregate Amount Beneficially Owned by Each Reporting Person			
77,941,198 (1) (2)				
10	Check	oox i	if the aggregate amount in row (9) excludes certain shares (See Instructions)	
11	11 Demonst of close represented by emount in rep. (0)			
11 Percent of class represented by amount in row (9)		nass represented by amount in row (9)		
69.5% (5)				
12				
	IN			

- (1) Includes (i) 5,518,961 shares of Class B common stock, par value \$0.0001 per share of the Issuer (the "Class B Stock") owned by the Reporting Person, (ii) 3,370,620 shares of Class B Stock underlying a stock option (the "Class A Options") owned by the Reporting Person, (iii) 1,590,547 shares of Class A Stock underlying a stock option (the "Class A Options") owned by the Reporting Person, (iv) 17,500 shares of Class A Stock owned by the Reporting Person's spouse, (v) 3,955,512 shares of Class B Stock owned by the Reporting Person's spouse, (vi) 2,346,709 shares of Class A Stock underlying Class A Options owned by the Reporting Person's spouse, (vi) 2,346,709 shares of Class B Stock underlying Class B Options owned by the Reporting Person's spouse, (vii) 271,655 shares of Class A Stock owned by Blackfoot Healthcare Ventures LLC, (ix) 51,737,344 shares of Class A Stock owned by United Biomedical, Inc., and (x) 1,928,020 shares of Class A Stock underlying a warrant owned by United Biomedical, Inc. Class B Stock is convertible to Class A Stock on a one-for-one basis and has no expiration date.
- (2) Includes 4,212,495 shares of Class A Stock owned by United Biomedical, Asia Inc.
- (3) Includes (i) 5,518,961 shares of Class B Stock owned by the Reporting Person, (ii) 3,370,620 shares of Class B Stock underlying Class B Options owned by the Reporting Person, and (iii) 1,590,547 shares of Class A Stock underlying Class A Options owned by the Reporting Person.
   (4) Includes 271 (55 shares of Class A Stock and Hu Blockford Hueldhers Ventures LLC
- (4) Includes 271,655 shares of Class A Stock owned by Blackfoot Healthcare Ventures LLC.
- (5) Based upon 112,181,870 shares of Class A common stock, par value \$0.0001 per share, of the Issuer (the "*Class A Stock*") outstanding as of November 8, 2022, as disclosed in the Issuer's Quarterly Report on Form 10-Q for the fiscal quarter ended September 30, 2022, that was filed on November 10, 2022, by the Issuer with the U.S. Securities and Exchange Commission.

# Item 1.

## (a) Name of Issuer:

Vaxxinity, Inc. (the "Issuer")

### (b) Address of Issuer's Principal Executive Offices:

1717 Main St., Suite 3388, Dallas, Texas 75201.

#### Item 2.

#### (a) Name of Person Filing:

This statement is filed by and on behalf of Mei Mei Hu (referred herein as the "*Reporting Person*"). Pursuant to a voting agreement dated October 1, 2021, by and among various parties including the Reporting Person, the Reporting Person's spouse, United Biomedical, Inc. ("*UBI*"), and Blackfoot Healthcare Ventures LLC (the "*Voting Agreement*"), the Reporting Person shall have the exclusive right to vote the shares of Class A Stock that are held by the Reporting Person, the Reporting Person's spouse, UBI and Blackfoot Healthcare Ventures LLC ("*Blackfoot*"), in the Reporting Person's sole discretion, on all matters submitted to a vote of the stockholders of the Issuer. The Reporting Person disclaims beneficial ownership of the shares of Class A Stock held directly by the Reporting Person's spouse, Blackfoot, UBI, and United Biomedical, Asia Inc.

The Reporting Person declares that none of the filing of this statement or anything herein or the Voting Agreement shall be construed as an admission that the Reporting Person is, for the purposes of Section 13(d) or 13(g) of the Act or any other purpose, (i) acting (or has agreed or is agreeing to act together with any other person under the Voting Agreement or otherwise) as a partnership, limited partnership, syndicate, or other group for the purpose of acquiring, holding, or disposing of securities of the Issuer or otherwise with respect to the Issuer or any securities of the Issuer or (ii) a member of any group with respect to the Issuer or any securities of the Issuer.

#### (b) Address of Principal Business Office or, if None, Residence:

The address of the principal business office the Reporting Person is 1717 Main St., Suite 3388, Dallas, Texas 75201.

#### (c) Citizenship:

See Item 4 on the cover page(s) hereto.

# (d) Title and Class of Securities:

Class A Common Stock, par value \$0.0001 per share ("Class A Stock")

(e) CUSIP No.:

92244V104

#### Item 3. If this statement is filed pursuant to §§ 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

- (a)  $\Box$  Broker or dealer registered under Section 15 of the Act;
- (b)  $\square$  Bank as defined in Section 3(a)(6) of the Act;
- (c)  $\Box$  Insurance company as defined in Section 3(a)(19) of the Act;
- (d) 🗆 Investment company registered under Section 8 of the Investment Company Act of 1940;
- (e)  $\Box$  An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f)  $\Box$  An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F);
- (g)  $\Box$  A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G);
- (h) 🗆 A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) 🗆 A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940;
- (j)  $\Box$  A non-U.S. institution in accordance with Rule 240.13d-1(b)(1)(ii)(J);
- (k)  $\Box$  Group, in accordance with Rule 240.13d-1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with Rule 240.13d-1(b)(1)(ii)(J), please specify the type of institution:

#### Item 4. Ownership

- (a) Amount Beneficially Owned as of the time of filing: See Item 9 on the cover pages(s) hereto.
- (b) Percent of Class as of the time of filing: See Item 11 on the cover page(s) hereto.
- (c) As of the time of filing, number of shares as to which such person has:
  - (i) Sole power to vote or to direct the vote: See Item 5 on the cover pages hereto.
  - (ii) Shared power to vote or to direct the vote: See Item 6 on the cover pages hereto.
  - (iii) Sole power to dispose or to direct the disposition of: See Item 7 on the cover pages hereto.
  - (iv) Shared power to dispose or to direct the disposition of: See Item 8 on the cover pages hereto.

## Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the Reporting Person has ceased to be the beneficial owners of more than five percent of the class of securities, check the following [].

# Item 6. Ownership of more than Five Percent on Behalf of Another Person.

Not applicable

# Item 7. Identification and classification of the subsidiary which acquired the security being reported on by the parent holding company or control person.

Not applicable

# Item 8. Identification and classification of members of the group.

Not applicable

## Item 9. Notice of Dissolution of Group.

Not applicable

### Item 10. Certifications.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect other than activities solely in connection with a nomination under § 240.14a-11.

# SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: January 27, 2023

/s/ Mei Mei Hu

MEI MEI HU